

EXPRESS MAIL CERTIFICATE		
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231		
Typed or Printed Name	Dave Glisson	Express Mail No. EL563650187US
Signature	<i>Dave Glisson</i>	Date June 23, 2000

CERTIFICATION REGARDING SEQUENCE LISTING Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	SHIM-006
	First Named Inventor	Takuva Tamatani et al.
	Application Number	To be Assigned
	Int'l Filing Date	16 DEC 1998
	Group Art Unit	To be Assigned
	Examiner Name	To be Assigned
	Title	Monoclonal Antibody Against Connective Tissue Growth Factor and Medicinal Uses Thereof

Sir:

I hereby certify that the enclosed Sequence Listing is being submitted in paper copy and on a computer-readable diskette, and that the content of the paper and computer readable copies are the same.

I hereby also certify that the enclosed submission includes no new matter.

Applicants believe that no fee is due in connection with the filing of this paper. However, the Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

23/June/2000

By:

Karl Bozicevic
Karl Bozicevic
Registration No. 28,807

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EXPRESS MAIL CERTIFICATE

414 Rec'd PCT/PTO

3 JUN 2000

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Typed or Printed Name Dave Glisson

Express Mail No.

EL569650187US

Signature

Dave Glisson

Date

June 23, 2000

Form PTO-1390
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
SHIM-006U.S. APPLICATION NO.
To be Assigned

09/582337

INTERNATIONAL APPLICATION NO.
PCT/JP98/05697INTERNATIONAL FILING DATE
16 DEC 98PRIORITY DATE CLAIMED
25 DEC 97TITLE OF INVENTION MONOCLONAL ANTIBODY AGAINST CONNECTIVE TISSUE GROWTH FACTOR
AND MEDICINAL USES THEREOF

APPLICANT(S) FOR DO/EO/US Takuya TAMATANI, Katsunari TEZUKA, Shinji SAKAMOTO and Masaharu TAKIGAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. (However, a courtesy copy is attached hereto.)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (37 U.S.C. 371(c)(3)):
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNSIGNED)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
 - Certification of Sequence Listing
 - Diskette and Paper Copy of Sequence Listing
 - Return Postcard

a

U.S. APPLICATION NO. (If known, see 37 CFR 1.50)

09/582337

INTERNATIONAL APPLICATION NO.
PCT/JP98/05697ATTORNEY'S DOCKET NUMBER
HIM-00617. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and international search report not prepared by the EPO or JPO \$970.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but international search report prepared by the EPO or JPO \$840.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than __ 20 __ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21 - 20 =	1	X \$18.00	\$	18.00
Independent Claims	8 - 3 =	5	X \$78.00	\$	390.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	1248.00
Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	0
SUBTOTAL =				\$	1248.00
Processing Fee of \$130.00 for furnishing the English translation later than __ 20 __ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0
TOTAL NATIONAL FEE =				\$	1248.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$	0
TOTAL FEES ENCLOSED =				\$	1248.00
				Amount to be: refunded	\$
				charged	\$ 1248.00

a. A check in the amount of \$__ to cover the above fees is included.

b... ☒ Please charge my Deposit Account No. 50-0815 in the amount of \$1248.00 to cover the above fees.c... ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 50-0815.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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(650) 327-3400 Telephone

SIGNATURE

Karl Bozicevic
Reg. No. 28,807